

Retention and Classification Report

Agency: Attorney General's Office (Utah). Civil Department. Tax and
Financial Services Division (69)
Heber M. Wells Bldg., 5th Floor
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Records Officer: Lonny Pehrson

09593	Anti-trust case files
04151	Financial Institutions case files
09982	Insurance Department case files
04166	Tax Commission case files
00290	Tax Division case files

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 9593

3

TITLE: Anti-trust case files

DATES: 1968-

ARRANGEMENT: Alphabetical by surname

ANNUAL ACCUMULATION: 10.00 cubic feet.

DESCRIPTION:

These files document investigations by the Attorney General's Office of anti-trust violations to determine whether or not to prosecute violations of Utah anti-trust statutes. Some investigations do not result in litigation. Information includes attorney work product, investigative reports, court documents, research, and evidence.

RETENTION:

Permanent. Retain for 15 year(s) after case is closed

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1999

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year after case is closed and then transfer to State Records Center. Retain in State Records Center for 14 years and then destroy.

Computer data files: Retain in Office for 15 years after case is closed and then delete.

APPRAISAL:

These records have administrative value(s).

This disposition is based on the administrative need expressed by the agency.

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 9593

TITLE: Anti-trust case files

(continued)

PRIMARY DESIGNATION:

Protected UCA 63G-2-305(9)(a)-(e)and UCA 63G-2-305(17)

SECONDARY DESIGNATION(S):

Protected. UCA 77-22-2 (court order)

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 4151

3

TITLE: Financial Institutions case files

DATES: 1980-

ARRANGEMENT: Alphabetical by case name

ANNUAL ACCUMULATION: 4.00 cubic feet.

DESCRIPTION:

These files document the legal cases for the Department of Financial Institutions. The Attorney General's office is responsible for representing state agencies in legal actions brought against them, (UCA 67-5-3 thru 67-5-5 (1991)). These files provide the Attorney General's office with documentation essential for representing the Department of Financial Institutions. Information includes pleadings, correspondence, attorney's research and notes, court orders, and affidavits.

RETENTION:

Retain for 10 year(s) after case is closed

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 09/1992

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year after case is closed and then transfer to State Records Center. Retain in State Records Center for 9 years and then destroy.

APPRAISAL:

These records have administrative value(s).
This disposition is based on the needs expressed by the agency.

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 4151

TITLE: Financial Institutions case files

(continued)

PRIMARY DESIGNATION:

Private

SECONDARY DESIGNATION(S):

Protected. Attorney's research and notes

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 9982

3

TITLE: Insurance Department case files

DATES: 1980-

ARRANGEMENT: Alphabetical by case name

ANNUAL ACCUMULATION: 21.00 cubic feet.

DESCRIPTION:

These files document the legal cases for the Insurance Department. The Attorney General's office is responsible for representing state agencies in legal actions brought against them, (UCA 67-5-3-5 thru 67-5-5 (1991)). These files provide the Attorney General's office with documentation essential for representing the Insurance Department. Information includes pleadings, correspondence, attorney's research and notes, court orders, complaints, and affidavits.

RETENTION:

Retain for 10 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 09/1992

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year after case is closed and then transfer to State Records Center. Retain in State Records Center for 9 years and then destroy.

APPRAISAL:

These records have administrative value(s).
This disposition is based on the needs expressed by the agency.

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 9982

TITLE: Insurance Department case files

(continued)

PRIMARY DESIGNATION:

Private

SECONDARY DESIGNATION(S):

Controlled. Personality inventory

Protected. Attorney's research and notes

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 4166

3

TITLE: Tax Commission case files

DATES: 1903-

ARRANGEMENT: Alphabetical by case name

ANNUAL ACCUMULATION: 40.00 cubic feet.

DESCRIPTION:

These files document the legal cases for the Utah State Tax Commission. The Attorney General's office is responsible for representing state agencies in legal actions brought against them, (UCA 67-5-3 thru 67-5-5 (1991)). These files provide the Attorney General's office with the documentation essential for representing the Tax Commission. Information includes pleadings, correspondence, attorney's research and notes, court orders, complaints, and affidavits.

RETENTION:

Retain for 10 year(s) after case is closed

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 09/1992

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year or until case is resolved and then transfer to State Records Center. Retain in State Records Center for 9 years and then destroy.

APPRAISAL:

These records have administrative value(s).
This disposition is based on the needs expressed by the agency.

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 4166

TITLE: Tax Commission case files

(continued)

PRIMARY DESIGNATION:

Private

SECONDARY DESIGNATION(S):

Protected. Attorney's research and notes

AGENCY: Attorney General's Office (Utah). Civil Department. Tax and Financial Services Division

SERIES: 290

3

TITLE: Tax Division case files

DATES: 1975-

ARRANGEMENT: Alphabetical by case name or designation.

DESCRIPTION:

These files document cases litigated by the Tax and Business Regulation Division of the Attorney General's Office. Information includes correspondence, assigned attorney, attorney work product, and affidavits.

RETENTION:

Retain for 15 year(s) after case is closed

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 12/1998

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year after case is closed and then transfer to State Records Center. Retain in State Records Center for 14 years and then destroy provided appellate process has been completed.

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Protected

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 07/2018.