

## Retention and Classification Report

**Agency:** Department of Transportation. Employee Safety (2430)

4501 South 2700 West  
Salt Lake City, UT 84119-5998  
801-965-4715

**Records Officer:** \_\_\_\_\_

06763	Employer's report of injury or occupational disease
25909	*I-15 Structure damage case files
25885	*I-15 pre-construction surveys
25731	Insurance subrogation records
10308	Litigation-related daily correspondence
09135	Loss control reports
09137	Monthly first aid treatment reports
09141	State employee traffic accident reports

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 6763

4

**TITLE:** Employer's report of injury or occupational disease

**DATES:** i 1985-

**ARRANGEMENT:** None

**DESCRIPTION:**

This is the agency copy of the report documenting an injury to an employee while on the job and the nature of the accident. The original is filed with the Office of Worker's Compensation and a copy is sent to the Industrial Commission of Utah to claim worker's compensation. This report includes the date, time, and nature of the accident or diagnosis of occupational disease; extent of injury or fatality; information identifying employer and place of employment; names and addresses of witnesses and attending physician; and the following data elements concerning the injured employee: age, current and past addresses, date of birth, employer, job position information (grade/step, etc.), marital status, medical information, name of kin, number of children, occupation, salary, sex, social security number, telephone number, and any past injuries incurred on the job.

**RETENTION:**

Retain for 5 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

These records are in Archives' permanent custody.

**APPROVED:** 10/1986

**FORMAT MANAGEMENT:**

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 3 years and then destroy.

**APPRAISAL:**

These records have administrative value(s).  
General Schedule 1, Item 31 lists a retention of 5 years.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 6763

**TITLE:** Employer's report of injury or occupational disease

(continued)

**PRIMARY DESIGNATION:**

Private

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 25885

3

**TITLE:** I-15 pre-construction surveys

**DATES:** 1997-2000.

**ARRANGEMENT:** Alphabetical by name of location.

**DESCRIPTION:**

This series contains surveys and visual recordings of property adjacent to I-15 before the Interstate's re-construction project began. These surveys and video recordings document any pre-existing damage to residential and business property. They are used to determine any damage that may have been incurred to structures or parking lots as a result of construction. This information may be used to defend the department against claims of property damage due to construction.

**RETENTION:**

Permanent. Retain for 10 year(s)

**DISPOSITION:**

Transfer to Archives.

**RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule Agency history records, GRS-1723.

**AUTHORIZED:** 11-28-2018

**FORMAT MANAGEMENT:**

Paper: Retain in Office until administrative needs end and then transfer to State Records Center. Retain in State Records Center for 10 years and then transfer to State Archives with authority to weed.

Video recordings master: Retain in Office until administrative needs end and then transfer to State Records Center. Retain in State Records Center for 10 years and then transfer to State Archives with authority to weed.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 25885

**TITLE:** I-15 pre-construction surveys

(continued)

**APPRAISAL:**

These records have administrative, historical, and/or legal value(s).

**PRIMARY DESIGNATION:**

Public

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 25909

3

**TITLE:** I-15 Structure damage case files

**DATES:** 2001-2002.

**ARRANGEMENT:** Alphabetical by case name.

**TOTAL VOLUME:** 1.00 cubic foot.

**DESCRIPTION:**

These records document claims filed against the State for alleged adjacent structure damage caused by construction vibration from the I-15 reconstruction project. They contain information about alleged property damage such as cracks in building structures and foundations. Records include correspondence, photographs, videos, repair estimates, engineers drawings, and documentation of financial settlements.

**RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule Agency history records, GRS-1723.

**AUTHORIZED:** 11-28-2018

**FORMAT MANAGEMENT:**

Paper: Retain in State Records Center for 10 years and then transfer to State Archives with authority to weed.

**APPRAISAL:**

These records have administrative, historical, and/or legal value(s).

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 25731

3

**TITLE:** Insurance subrogation records

**DATES:** 1976-

**ARRANGEMENT:** Chronological by year thereunder numerical by claim number.

**ANNUAL ACCUMULATION:** 0.50 cubic feet.

**DESCRIPTION:**

These files contain information relating to insurance claims filed when damage is sustained to the department's motor vehicles or highway infrastructure. Records document the process used in seeking recovery for damages. Files may contain insurance claim forms, accident reports, description of damages, injury reports, correspondence, and attorney work product.

**RETENTION:**

Retain for 7 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series were specifically approved by the State Records Committee.

**APPROVED:** 04/2005

**FORMAT MANAGEMENT:**

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

**APPRAISAL:**

These records have administrative, and/or legal value(s).  
This disposition is based upon the legal needs of the department to justify claims for recovery when property damages are incurred.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 25731

**TITLE:** Insurance subrogation records

(continued)

**PRIMARY DESIGNATION:**

Public

**SECONDARY DESIGNATION(S):**

Protected. U.C.A. 63G-2-305 (2008)



**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 10308

1

**TITLE:** Litigation-related daily correspondence

**DATES:** 1980-

**ARRANGEMENT:** None

**ANNUAL ACCUMULATION:** 0.20 cubic feet.

**DESCRIPTION:**

Business-related messages that document work accomplished, transactions made, or actions taken. These records do not offer unique information about agency functions, programs, or policies, though they may cite them. These records may originate on paper, electronic mail, or other media.

**RETENTION:**

Retain for 5 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is proposed and has not yet been approved.

**FORMAT MANAGEMENT:**

Paper: Retain in Office until administrative needs end and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

**APPRAISAL:**

These records have administrative value(s).

The retention is based on the administrative needs expressed by the agency. Litigation is usually completed after five years.

**PRIMARY DESIGNATION:**

Protected UCA 63G-2-305 (14) (15) (16) (22)

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9135

4

**TITLE:** Loss control reports

**DATES:** ca. 1980-

**ARRANGEMENT:** Chronological

**ANNUAL ACCUMULATION:** 2.00 cubic feet.

**DESCRIPTION:**

These records are prepared at the request of the Attorney General litigation division or Risk Management to help provide the state's defense for Tort Liability claims or summons and complaints. Information includes date and location of accident, names, addresses, phone numbers, witnesses, a fatal accident narrative, accident diagram, investigating officer's report, photographs, attorney client work product, defense strategy, design plans, traffic/accident history, and any information requested by the attorney assigned to the case, or as may be provided by statutory discovery rules. The record copy is maintained by the division of Risk Management.

**RETENTION:**

Retain for 5 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

These records are in Archives' permanent custody.

**APPROVED:** 03/1993

**FORMAT MANAGEMENT:**

Paper copy: Retain in Office for 5 years and then destroy.

**APPRAISAL:**

These records have legal value(s).

This disposition is based on the perceived legal need expressed by the agency.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9135

**TITLE:** Loss control reports

(continued)

**PRIMARY DESIGNATION:**

Protected

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9137

4

**TITLE:** Monthly first aid treatment reports

**DATES:** ca. 1980-

**ARRANGEMENT:** Chronological

**ANNUAL ACCUMULATION:** 1.00 cubic foot.

**DESCRIPTION:**

Records created monthly by individual supervisors for each case offirst-aid administered, to establish eligibility involving claims filed with the Workers Compensation Fund of Utah and to develop remedial programs to correct employee work practices and improvement of equipment or work environment. Reports are medical records for minor injuries not requiring treatment at a medical facility. Information includes date of injury, name of injured employee, occupation, type of injury, description of accident, treatment, and disposition.

**RETENTION:**

Retain for 3 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

These records are in Archives' permanent custody.

**APPROVED:** 03/1993

**FORMAT MANAGEMENT:**

Paper: Retain in Office for 3 years and then destroy.

**APPRAISAL:**

These records have administrative, and/or fiscal value(s).

This disposition is based on the administrative needs expressed by the agency. The records are also subject to audit.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9137

**TITLE:** Monthly first aid treatment reports

(continued)

**PRIMARY DESIGNATION:**

Private

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9141

4

**TITLE:** State employee traffic accident reports

**DATES:** ca. 1980-

**ARRANGEMENT:** Chronological

**ANNUAL ACCUMULATION:** 1.00 cubic foot.

**DESCRIPTION:**

These records are created by Loss Control when an employee is involved in a traffic accident. They identify the cause of the accident and establish the state liability in order for Risk Management to adjudicate the claim and for the Attorney General to defend the Department of Transportation in litigation. Information includes employee name, address, social security number, vehicle description, description of how or why an accident occurred, name of third parties, witnesses, insurance companies, extent of damage, accident diagrams, repair estimates, photographs, and the investigation officer's report.

**RETENTION:**

Retain for 5 year(s)

**DISPOSITION:**

Destroy.

**RETENTION AND DISPOSITION AUTHORIZATION:**

These records are in Archives' permanent custody.

**APPROVED:** 03/1993

**FORMAT MANAGEMENT:**

Paper: Retain in Office for 5 years and then destroy.

**APPRAISAL:**

These records have administrative, and/or legal value(s).

This disposition is based on the administrative needs expressed by the agency. Litigation is usually completed within five years.

**AGENCY:** Department of Transportation. Employee Safety

**SERIES:** 9141

**TITLE:** State employee traffic accident reports

(continued)

**PRIMARY DESIGNATION:**

Protected