

Retention and Classification Report

Agency: Department of Health and Human Services. Community Health and Well-Being.
Division of Population Health (4636)

,

Records Officer: _____

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AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31158

3

TITLE: 2024 Project Success health needs assessment

DATES: 2024-

ARRANGEMENT: Database.

DESCRIPTION:

These records are survey data on health behaviors, chronic disease, and injury status among members of Utah's African American and Black population. Aggregate data are shared with community members to inform programs and interventions to improve health outcomes. See Utah Code 59-14-807, 26B-7-113. Records include respondent demographics (age, sex, county, race/ethnicity, income, education), health behaviors, health status, community and social conditions, and survey completion. Privacy Annotation: Purpose Personal identifying information (PII) is collected, maintained, or used: Respondent demographics (age (in years), sex, race/ethnicity, county of residence) were collected to understand whether respondents were representative of the population and to analyze differences in health behaviors, and health-related outcomes by demographic characteristics. DHHS suppression rules will be applied for cells where populations are below a count of 11. IP addresses were collected as part of the Qualtrics survey setup. List of PII: Respondent demographics (sex, age (in years), race/ethnicity, county); health data; IP addresses. See Utah Code 59-14-807, 26B-7-113.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Program and project analysis records, GRS-1277.

AUTHORIZED: 02-20-2019

FORMAT MANAGEMENT:

Computer data files: Retain in Office for 10 years and then delete.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31158

TITLE: 2024 Project Success health needs assessment

(continued)

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Exempt Utah Code 26B-8-4

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16922

3

TITLE: Administrative rule analysis notice of proposed rule change

DATES: 1984-

ARRANGEMENT: Chronological

DESCRIPTION:

This is a proposal by the agency to adopt a new administrative rule or change an existing rule. It includes the name and address of the department or agency submitting the form, the name and telephone number of the contact person, the title of the rule, a summary of the rule or change and the reason for it, the anticipated cost impact of the rule, the type of notice (proposed rule, change in proposed rule including the rule number, a 120 day rule, or a five year review/continuation), a justification for a 120 day rule, whether the rule is authorized by state code or required by federal mandate and the applicable citations, the means by which the public may comment on the proposed rule (at public hearing, by appearing at the agency, or by written comment) and the period for making their comments, the name and the signature of the agency head or designee, the date the notice was signed, the date and time the notice was received in the Office of Administrative Rules and the name of the person receiving it, the dates the 120 day rules become effective and lapse, and the Office of Administrative Rules and the originating agency's numbers.

RETENTION:

Permanent. Retain until administrative need ends

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office until no longer administratively valuable and then transfer to State Archives with authority to weed.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health
SERIES: 16922
TITLE: Administrative rule analysis notice of proposed rule change

(continued)

APPRAISAL:

These records have administrative, and/or historical value(s).
The rulemaking function of the bureau is part of its policy setting activities. As a result, this is a document of long term interest and should be retained permanently.

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81553

3

TITLE: Annual work program staffing plan

DATES: 1985-

ARRANGEMENT: Chronological

DESCRIPTION:

This is a report documenting the real costs to the agency for employees on cost to date, projected, and budget figures. The information includes the employee's name, grade, and step; the amount budgeted for benefits and for salary; the year to date cost; the projected cost; and the amount that the actual cost exceeded or fell short of the projections.

RETENTION:

Retain for 6 month(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 6 months and then destroy.

APPRAISAL:

These records have administrative value(s).

The record copy of this is kept in the Department of Administrative Services. This record has only administrative value.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81553

TITLE: Annual work program staffing plan

(continued)

PRIMARY DESIGNATION:

Private

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31186

3

TITLE: Asthma Program email lists

DATES: 2025-

ARRANGEMENT: Alphabetical by email address.

DESCRIPTION:

These records are used to To send email notifications to subscribers via MailChimp. Examples of notifications include air quality alerts and asthma newsletters. See Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3)], and Utah Code 26B-7-113, 227. Information may include email address, school name, job title, and language spoken. Privacy annotation: Purpose personal identifying information (PII) is collected, maintained, or used: Email address is collected to send email notifications to subscribers via MailChimp. List of PII: Email address, school name, job title, language spoken See Utah Code 26B-7-113 and 26B-1-202.

RETENTION:

Retain until superseded or until end of the calendar year that the records cover

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the Records Management Committee.

APPROVED: 05/2025

FORMAT MANAGEMENT:

Computer data files: Retain in Office until superseded or until end of calendar year after unsubscribed and then delete.

APPRAISAL:

These records have administrative value(s).

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31186

TITLE: Asthma Program email lists

(continued)

PRIMARY DESIGNATION:

Private

Utah Code 63G-2-202 and 206

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81557

3

TITLE: Clinical laboratory files

DATES: 1986-

ARRANGEMENT: Alphabetical by laboratory

DESCRIPTION:

This is the file of laboratories that test for biological, microbiological, serological, chemical, immunohematological, biophysical, cytological, and/or pathological materials in the state. Oversight of these laboratories is required by the Clinical Laboratories Improvement Act of 1967 (42 USC 263a) and by Medicare regulations. See 42 CFR 74, 42 CFR 405 Subpart M, and 21 CFR 600 Subpart C. These files includes Clinical Laboratory Survey Report, Medicare/Medicaid Certification and Transmittal, Statement of Deficiencies and Plan of Correction, Blood Bank Inspection Checklist and Report, Medicare/Medicaid Hospital Survey Report, Specimens Received Per Year, Laboratory Personnel Report, Licensure Recommendation-CLIA Laboratory, Summary of Deficiencies not Corrected, Post-Certification Revisit Report, Clinical Laboratory Survey Report, copy of license or certification, proficiency test results, correspondence, and Suggestions for Laboratory Improvement. Information includes the name and address of the lab; the Clinical Laboratories Improvement Act code number; the Medicare identification number; the type of tests performed by the lab; the names and titles of all personnel functioning as director, supervisor, technologist, or cytotechnologist; the date of the survey; the names and titles of the surveyors; and the items that were inspected and the results of the inspection.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health
SERIES: 81557
TITLE: Clinical laboratory files

(continued)

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).
Although several CFR sections discuss the requirement for these records, they do not specify the retention. In that case, the provision of the Paperwork Reduction Act of 1981 (5 CFR 1320) sets a three year retention for this record.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81566

3

TITLE: Clinical laboratory proficiency testing results

DATES: 1983-

ARRANGEMENT: Alphabetical by lab name

DESCRIPTION:

These are the results of tests conducted to determine the quality of the work performed by the laboratory as a whole. This is required by both the Clinical Laboratories Improvement Act of 1967 (42 USCA 263a) and by Medicare regulations. See 21 CFR 600.11 and 42 CFR 405.1904(b). This includes Intra-state Blood Alcohol Proficiency Testing, Bacteriology Proficiency Testing Test Results, Syphilis Serology Test Results, Blood Alcohol Check List, and Immunology Check List. Information includes the name and address of the laboratory, the name of the laboratory director and technologist, the date of the test, the method used, the date reported, the type of test conducted, and the test results.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).
This activity is required by federal regulations, but the regulations set no specific retention. In that case, the provisions of the Paperwork Reduction Act of 1981 apply, which state that these records should be held for three years. See 5

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81566

TITLE: Clinical laboratory proficiency testing results

(continued)

CFR 1320.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81552

3

TITLE: Collection transmittal advice

DATES: 1983-

ARRANGEMENT: Chronological

DESCRIPTION:

This is the form used to transmit checks and cash received by the division to the Division of Finance. The information includes the division, the date payment was received, the receipt number, the check number, the low organization number, the cash code, the name of the payor, the amount and means of payment, and the reason for the payment.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years or until audited and then destroy.

APPRAISAL:

These records have administrative, and/or fiscal value(s).

This record tracks the movement of money through the division. As such it is subject to audit. Once the audit period has ended or the audit has been conducted, the record may be destroyed.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16927

3

TITLE: Daily time record

DATES: 1984-

ARRANGEMENT: Alphabetical by employee name

DESCRIPTION:

These are the time sheets prepared by staff members who do work related to medicaid and medicare. A record of time spent on these programs is needed to ensure that the state gets reimbursed by the federal government. These time sheets include the employee's name, job title and grade; the period of the report; the name of the office, and bureau; the employee's and supervisor's signatures; the signature of the approving officer in the division of Health Care Financing; and a daily record of the employee's time.

RETENTION:

Retain for 1 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).

This record is produced for audit purposes, but a copy is sent to Health Care Finance Division. Discussion with that division shows that federal auditors review their copy. The copy at the lab therefore may be destroyed once its administrative value has ended.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16927

TITLE: Daily time record

(continued)

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81554

3

TITLE: Data collection form

DATES: 1978-

ARRANGEMENT: Chronological

DESCRIPTION:

This is an internal management form used by the division to keep track of the work flow in the division so that staff resources can be efficiently allocated. This is the raw data used to compile workload reports. This includes the report month, the specimen type, and the number of specimens reported out and examinations performed by day and type.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then destroy.

APPRAISAL:

These records have administrative value(s).

As raw data, this information only needs to be kept to verify the workload reports. A three year retention will meet this administrative need according to the staff.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81944

3

TITLE: Dental basic school screening

DATES: 1983-

ARRANGEMENT: Database, thereunder numerical by student number.

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

This record series contains consent forms from the parent or guardian and surveys for the dental basic school screening and results.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the Records Management Committee.

APPROVED: 08/2024

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years and then destroy.

Computer data files: Retain in Office for 5 years and then delete.

APPRAISAL:

These records have administrative value(s).

This record has only administrative value. As the bureau does not conduct any treatment, they would not be subject to malpractice action.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81944

TITLE: Dental basic school screening

(continued)

PRIMARY DESIGNATION:

Exempt Utah Code 26B-4-319 (2023)

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16914

3

TITLE: Director's correspondence

DATES: 1970-

ARRANGEMENT: Alphabetical by subject

DESCRIPTION:

This is the correspondence generated by the division director.

This record includes incoming and outgoing correspondence between the division and the other Health divisions, federal agencies, local governments, and members of the public.

RETENTION:

Permanent. Retain for 3 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then transfer to State Archives with authority to weed.

Microfiche duplicate: For records beginning in 1970 through 1980. Retain in State Archives permanently with authority to weed.

Microfiche master: For records beginning in 1970 through 1980. Retain in State Archives permanently with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).

These records are of long-term value because they document the activities of the division as a whole and show its policies, achievements, and activities.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16914

TITLE: Director's correspondence

(continued)

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 17667

3

TITLE: Environmental Laboratory application folder and supporting documents

DATES: 1986-

ARRANGEMENT: Chronological, thereunder alphabetical by facility name

ANNUAL ACCUMULATION: 3.00 cubic feet.

DESCRIPTION:

These are environmental laboratory certification application support records. The information contained in this record series supports the findings in the original application record, which is located in series 17666, Environmental Laboratory application cycle documentation record. They support documentation of the application process for laboratories wishing to be state certified under the Environmental Laboratory Certification Program. R444-14-1(1994) establishes the rules and guidelines for the certification of these laboratories. Information includes quality assurance manual, personnel information concerning the lab studies, and procedures.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1997

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 3 years and then destroy.

APPRAISAL:

These records have administrative value(s).

This disposition is based on the administrative needs expressed by the agency. R444-14-10(1995) requires that these records be maintained for at least five years.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 17667

TITLE: Environmental Laboratory application folder and supporting documents

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Protected

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81564

3

TITLE: Environmental laboratories file

DATES: 1986-

ARRANGEMENT: Alphabetical by lab name

DESCRIPTION:

This is the file of laboratories that conduct tests for environmental problems, such as water pollution. Oversight of these laboratories is required by the Clinical Laboratories Improvement Act of 1967 (42 USC 263a). See 2 CFR 600 Subpart C. This file includes Statement of Deficiencies and Plan of Correction, Specimens Received Per Year, Laboratory Personnel Report, Licensure Recommendation-CLIA Laboratory, Summary of Deficiencies not Corrected, Post-Certification Revisit Report, Laboratory Survey Report, copy of license or certification, proficiency test results, correspondence, and Suggestions for Laboratory Improvement. Information includes the name and address of the lab; the Clinical Laboratories Improvement Act code number; the Medicare identification number; the type of tests performed by the lab; the names and titles of all personnel functioning as director, supervisor, technologist, or cytotechnologist; the date of the survey; the names and titles of the surveyors; and the items that were inspected and the results of the inspection.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then destroy.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health
SERIES: 81564
TITLE: Environmental laboratories file

(continued)

APPRAISAL:

These records have administrative value(s).
Although several CFR sections discuss the requirement for these records, they do not specify the retention. In that case, the provision of the Paperwork Reduction Act of 1981 (5 CFR 1320) sets a three year retention for this record.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. On site survey reports, corrective action plans, certificates, performance evaluations, quality assurance manuals, method lists, radiologic materials handling licenses

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31088

3

TITLE: ESSENCE exports - syndromic surveillance data

DATES: 2013-

ARRANGEMENT: Numerical by encounter number and unique patient identifier.

DESCRIPTION:

ESSENCE (Electronic Surveillance System for the Early Notification of Community based Epidemics) is a tool that allows the Division to export data from BioSense, the CDC (Centers for Disease Control and Prevention)-housed database for syndromic surveillance data. Syndromic surveillance data is electronic patient encounter data received from emergency departments, urgent cares, and primary care facilities. The Division uses this data for disease trend monitoring and early detection of novel threats. Privacy Annotation: This data includes PII, including patient encounter date, patient ID number, demographics of patient (age, race, ethnicity, sex), and residency of patient (as granular as zipcode). The Division is authorized to collect this PII under Utah Code 26B-7-2 and 26B-1-202. It is necessary to collect PII to be able to monitor trends and detect threats in specific populations, allowing for as granular a public health response as possible. Further, syndromic surveillance can be used to identify undiagnosed cases in facilities, and PII allows public health to follow up with physicians about potentially missed reportable cases.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the Records Management Committee.

APPROVED: 01/2025

FORMAT MANAGEMENT:

Computer data files: Retain in Office for 5 years and then delete.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31088

TITLE: ESSENCE exports - syndromic surveillance data

(continued)

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Exempt Utah Code 26B-7-217 through 220

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31259

3

TITLE: Identifiable public health studies

DATES: 2024-

ARRANGEMENT: Alphanumerical by name and ID number.

DESCRIPTION:

These records enable the evaluation of public health threats and their impacts on healthcare utilization and patient outcomes. These studies focus on communicable diseases, chronic conditions, environmental hazards, injuries, or any combination of these epidemiological interests. See Utah Code 26B-1-202 and 26B-7-2. See also Utah Administrative Code R386-702 and 703. Records include medical records, healthcare utilization statistics, housing records, demographics, and consent forms. To protect the data's privacy and security, personal data may be removed from records before final disposition. Privacy annotation: Purpose personal identifying information (PII) is collected, maintained, or used: PII is collected to allow linkage between data sets. List of PII: Name, date of birth, social security number, address, phone number, email address, housing records, medical records (including but not limited to vaccine data, weight, blood pressure, diabetes, discharge diagnosis from hospitals/ER, ambulance visits, substance use history). See Utah Code 26B-8-402 and 409.

RETENTION:

Retain for 1 year(s) after end of project or program

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Working files, GRS-1684.

AUTHORIZED: 12-20-2018

FORMAT MANAGEMENT:

Computer data files: Retain in Office for 1 year after end of project or program and then delete.

Paper: Retain in Office until scanned and then destroy.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31259

TITLE: Identifiable public health studies

(continued)

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Exempt Utah Code 26B-8-406 through 408

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16923

3

TITLE: Impounded Animals Advisory Committee meetings minutes

DATES: 1986-

ARRANGEMENT: Chronological

DESCRIPTION:

This is the record of the meetings of the Impounded Animals Advisory Committee. This committee is charged with the responsibility for establishing the rules and policies for the use of impounded animals for laboratory use. This record includes the date of the meeting, the place of the meeting, the individuals present, the issues discussed, and the decisions reached.

RETENTION:

Permanent. Retain for 4 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).
As a policy making body, the record of the activities of the committee are of permanent value.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16926

4

TITLE: Impounded animals records

DATES: 1985-

ARRANGEMENT: Alphabetical by lab name

DESCRIPTION:

Requisition, transfer, and receipt of animals by laboratories records, used to track animal from impoundment to final disposition. Includes requisition of impounded animals and record of transfer and receipt of impounded animal, name and address of the requisitioning institution, name and address of the impounding agency, certificate number of the requisitioning institution, requisition date, animal species requested, size and sex of animals requested, number and date required, name and address of the requesting individual, a description of the transferred impounded animal, reason why animal was impounded, dates of transfer and receipt, physical condition of the animal when received, use made and final disposition of animal, euthanized date, method used, and signatures of the investigator and of the supervisor of the animal facility.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1995

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years and then destroy.

APPRAISAL:

These records have administrative, and/or legal value(s). This disposition is based on the administrative needs expressed by the agency. Cruelty of animals is a class B misdemeanor (UCA 76-9-301). The statute of limitations for a class B misdemeanor is two years, however the agency requires an additional three

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16926

TITLE: Impounded animals records

(continued)

years. Previous decision was two years and then destroy.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16916

3

TITLE: Invoices

DATES: 1980-

ARRANGEMENT: Numerical by invoice number

DESCRIPTION:

These are invoices sent by the division for services rendered.
This record includes the date of the invoice, the name of the client, the amount billed, and the type of test performed.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then destroy.

APPRAISAL:

These records have administrative, and/or fiscal value(s).
This record is needed in the office for one year to enable the staff to answer billing enquiries. A three year retention is established for audit purposes.

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 05/2021.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16913

3

TITLE: Laboratory Advisory Committee administrative files

DATES: 1978-

ARRANGEMENT: Alphabetical by name

DESCRIPTION:

This is the record of the members of the above committee, their qualifications for the position, and their activities. It includes resumes of the committee members, copies of letter(s) from the governor thanking outgoing member(s) for their work on the committee, routine requests for information, and appointment letters to the members advising them of their appointment and of the duties and responsibilities of the position. Personal information on the members includes birthplace, date of birth, educational level, employer, employment history, job position information, marital status, membership in groups, name, occupation, occupational licenses, sex, and publications.

RETENTION:

Permanent. Retain until separation

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office until individual is no longer member of the board and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s). Information as to the individuals who made up the committee and their qualifications are of long term value and should be retained permanently.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16913

TITLE: Laboratory Advisory Committee administrative files

(continued)

PRIMARY DESIGNATION:

Public Information relating to the members' qualifications and activities.

SECONDARY DESIGNATION(S):

Private. All other information.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16912

3

TITLE: Laboratory Advisory Committee meetings minutes

DATES: 1978-

ARRANGEMENT: Chronological

DESCRIPTION:

This is the record of the meetings of the Laboratory Advisory Committee which formulates the policies and procedures for the State Health Lab. It includes the date of the meeting, the place of the meeting, the names of the individuals present, the items discussed, and any decisions reached.

RETENTION:

Permanent. Retain for 3 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s). As the board is an advisory body, the record of their meetings is of long term historical value. According to the office staff, they have an potential administrative need for the record for four years.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 23404

3

TITLE: Laboratory Bulletin

DATES: 1984-

ARRANGEMENT: Chronological

DESCRIPTION:

Any record, regardless of format, that is issued by a governmental entity for public distribution at the total or partial expense of that governmental entity. See Utah Code Section 9-7-101 8(a)(b) (2010) and 9-7-208 (2006)

RETENTION:

Permanent. Retain until administrative need ends

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Publications, GRS-1678.

AUTHORIZED: 10-30-2018

FORMAT MANAGEMENT:

Paper: Retain in State Archives permanently with authority to weed.

APPRAISAL:

These records have historical value(s).

Publications document agency history and functions. Publications have ongoing research value.

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 17665

3

TITLE: Laboratory certification dead file

DATES: 1986-

ARRANGEMENT: Chronological, thereunder alphabetical by facility name

ANNUAL ACCUMULATION: 2.00 cubic feet.

DESCRIPTION:

These are environmental laboratory certification master books. R444-14-1(1994) establishes the rules and guidelines for the certification of these laboratories. The books are used to document the certification process of Environmental laboratories who submit environmental data to state agencies. The information identifies if the lab is in compliance with state and federal guidelines. It also documents if the lab is currently a certified laboratory. Information includes a copy of the certificate, certificate letter, correspondence, and due process documentation.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1997

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 3 years and then destroy.

APPRAISAL:

These records have administrative value(s).

This disposition is based on the administrative needs expressed by the agency. R444-14-10(1995) requires that these records be maintained for at least five years.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 17665

TITLE: Laboratory certification dead file

(continued)

PRIMARY DESIGNATION:

Protected

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 17664

3

TITLE: Laboratory performance evaluation audit results

DATES: 1986-

ARRANGEMENT: Chronological, thereunder alphabetical by facility name

ANNUAL ACCUMULATION: 2.00 cubic feet.

DESCRIPTION:

These are environmental laboratory certification program audit results. R444-14-1(1994) establishes the rules and guidelines for the certification of these laboratories. R44-14-12(1995), addresses the requirements of an annual performance evaluation audit of these laboratories. The information gained from these audits is used to evaluate the laboratories who submit environmental data to state agencies and to insure they are in compliance with state and federal programs. It also insures that the lab is operating within established guidelines. Information includes laboratory test results, blind audit samples, certification records, chemical analyses records, facility requirements, department recommendations, list of laboratory equipment and supplies, analytical methods, and quality assurance.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1997

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 3 years and then destroy.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health
SERIES: 17664
TITLE: Laboratory performance evaluation audit results

(continued)

APPRAISAL:

These records have administrative, and/or fiscal value(s).
This disposition is based on the administrative needs expressed by the agency. R444-14-10(1995) requires that these records be maintained for at least five years.

PRIMARY DESIGNATION:

Public UCA 63G-2-301(2008)

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81558

3

TITLE: Laboratory personnel proficiency testing results

DATES: 1986-

ARRANGEMENT: Alphabetical by name

DESCRIPTION:

This is the result of proficiency tests given to personnel in certified laboratories to ensure that tests they conduct give accurate results. This is required by 42 CFR 74.40 and 42 CFR 405.1310. The information includes the individual's name, job title, work experience, education background, employer, and the test results.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).
As the CFR does not specify the retention, the three year retention requirement of 5 CFR 1320 applies.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81558

TITLE: Laboratory personnel proficiency testing results

(continued)

PRIMARY DESIGNATION:

Private

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81559

3

TITLE: Laboratory personnel qualification appraisal files

DATES: 1970-

ARRANGEMENT: Alphabetical by name

DESCRIPTION:

These are the records of qualifications of individuals employed in a clinical laboratory as a director, general supervisor, technical supervisor, technologist, cytotechnologist, cytotechnologist supervisor, or technician. This is used to ensure that laboratories are staffed by qualified people. This is required by 42 CFR 74.52, 42 CFR 405 Subpart M, and 21 CFR 600.10. The information in these files includes the individual's name, address, employer, job title, education background, clinical laboratory training, license certification, clinical laboratory experience, dates examinations were passed, and the employer's identification number.

RETENTION:

Retain until superseded or for 0

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office until updated or individual stops working in lab. and then destroy.

APPRAISAL:

These records have administrative value(s).

The appraisal is based on 42 CFR 74.52 which requires that the personnel records be maintained on a current basis.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81559

TITLE: Laboratory personnel qualification appraisal files

(continued)

PRIMARY DESIGNATION:

Private

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16925

3

TITLE: Lists of approved or certified laboratories

DATES: 1963-

ARRANGEMENT: Chronological by year, thereunder alphabetical by laboratory type

DESCRIPTION:

Any record, regardless of format, that is issued by a governmental entity for public distribution at the total or partial expense of that governmental entity. See Utah Code Section 9-7-101 8(a)(b) (2010) and 9-7-208 (2006)

RETENTION:

Permanent. Retain for 3 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Publications, GRS-1678.

AUTHORIZED: 10-30-2018

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then transfer to State Archives with authority to weed.

Microfiche master: Retain in State Archives permanently with authority to weed.

Microfiche duplicate: Retain in State Archives permanently with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).
This record only has an administrative value. Discussion with the staff discloses that the administrative value ends after three years.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 16925

TITLE: Lists of approved or certified laboratories

(continued)

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81549

3

TITLE: Payroll information report

DATES: 1980-

ARRANGEMENT: Chronological

DESCRIPTION:

This is a report generated by the division for payroll purposes of those individuals whose work week fell outside the normal forty hour parameters. This is used by personnel to generate accurate pay checks. The information includes the organization name and code numbers, the names of the employees, the amount of payroll advance pay back, the employee's social security number and grade, for hourly employees the hourly rate and the hours worked, the amount of leave without pay claimed, and the period of the report.

RETENTION:

Retain for 1 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).

This record is a duplicate copy. The original is sent to the Bureau of Personnel where they retain it for the full retention period. This copy needs only to be kept for administrative purposes.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81549

TITLE: Payroll information report

(continued)

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 23805

3

TITLE: Publications

DATES: 1959-

ARRANGEMENT: Chronological

DESCRIPTION:

Any record, regardless of format, that is issued by a governmental entity for public distribution at the total or partial expense of that governmental entity. See Utah Code Section 9-7-101 8(a)(b) (2010) and 9-7-208 (2006)

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Publications, GRS-1678.

AUTHORIZED: 10-30-2018

FORMAT MANAGEMENT:

Paper: Retain in State Archives permanently with authority to weed.

Microfiche master: Retain in State Archives permanently with authority to weed.

Microfiche duplicate: Retain in State Archives permanently with authority to weed.

APPRAISAL:

These records have historical value(s).

Publications document agency history and functions. Publications have ongoing research value.

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81550

3

TITLE: Receipt books

DATES: 1983-

ARRANGEMENT: Numerical by receipt number

DESCRIPTION:

These are receipts for payments received for the various services offered by the lab. The information includes the payment date, the payer's name and address, the reason for payment, and the amount and means of payment.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years or until audited and then destroy.

APPRAISAL:

These records have administrative, and/or fiscal value(s).
This record is subject to audit. Once the record has been audited, or the audit period has expired, the record may be destroyed.

PRIMARY DESIGNATION:

Public

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31188

3

TITLE: Refugee Health case files

DATES: 1996-

ARRANGEMENT: Database.

DESCRIPTION:

The Refugee Health Program is required to provide a health screening to all recently arrived refugees, to connect them with follow-up care, and to report on the common health conditions. These records are case files for clients of the Refugee Health Program. They include physical exams, medical history, mental health assessments, disease screenings, immunizations, investigation/case notes, and referrals as applicable. They are used to satisfy federal reporting requirements and for real time reporting and collaboration across state agencies, healthcare and mental health providers, refugee resettlement staff, local public health authorities, and others as authorized by statute. See Utah Code 26B-7-2, 26B-1-202, Federal Refugee Act of 1980, and Utah Administrative Code R388-804 "Special Measures for the Control of Tuberculosis". Information includes physical exam/medical history, mental health assessment, tuberculosis screening, parasite screening, lab panels, immunizations, follow-up of overseas findings, if applicable, referral to follow-up care and additional screenings. Privacy annotation: Purpose personal identifying information (PII) is collected, maintained, or used: To provide interventions and services to individuals, keep records for each individual's health history, general trend analysis, and other public health activities as allowed. List of PII: Name, date of birth, country of birth, address, phone number, email address, medical records, laboratory results, vaccine history, race, ethnicity, insurance ID numbers, gender identity, country of origin, geographic region, visa type, language, asylum documents (if applicable), foster care records (if applicable) See Utah Code 26B-7 and 26B-8

RETENTION:

Retain for 22 year(s) after date of birth or for 7 year(s) after case is closed, whichever is greater

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Patient and client case files, GRS-1706.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health
SERIES: 31188
TITLE: Refugee Health case files

(continued)

AUTHORIZED: 01-10-2019

FORMAT MANAGEMENT:

Computer data files: Retain in Office for 22 years after date of birth or until 7 years after case is closed, whichever is greater and then delete.

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Exempt Utah Code 26B-7-217 through 220, and 26B-8-406 through 408.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81546

3

TITLE: Telephone call record

DATES: 1985-

ARRANGEMENT: none

DESCRIPTION:

This is a record of long distance telephone calls made by division personnel. The record is reviewed by the Management Services Coordinator to verify the calls before payment is authorized. This is a management tool for controlling costs. The information includes the date, the number called, the length of the call, and the cost.

RETENTION:

Retain for 1 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year and then destroy.

APPRAISAL:

These records have administrative value(s).

This record has no other value than administrative.

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31163

3

TITLE: Utah cancer registry

DATES: 1966-

ARRANGEMENT: Database.

DESCRIPTION:

These records are used for public health surveillance, monitoring trends in cancer incidence, mortality, and monitoring the effectiveness of cancer prevention efforts and control measures. The data is used to undertake and support research and evaluation, and data sharing. The records are also used as allowed and in accordance with those duties outlined in Utah Code 26B-8-401 through 26B-8-410, and 26B-7-227. These records include patient case files which include the following personal information: name, contact information, demographics, medical records, insurance information, medical provider information, geographic location, health outcomes and medical provider information. The Department is authorized to use the personal data for the purposes listed above, pursuant to Utah Code 26B-8-401 through 26B-8-410, 26B-1-202(18), (25-30), and 26B-7-227. See also Utah Administrative Code R384-100.

RETENTION:

Retain permanently

DISPOSITION:

May Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the Records Management Committee.

APPROVED: 05/2025

FORMAT MANAGEMENT:

Computer data files: Retain in Office permanently.

APPRAISAL:

These records have administrative value(s).

These records are historical because they provide evidence of the significant effects of governmental programs and actions on individuals, communities, and the natural and man-made

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 31163

TITLE: Utah cancer registry

(continued)

environment.

PRIMARY DESIGNATION:

Exempt Utah Code 26B-8-406 through 26B-8-408

AGENCY: Department of Health and Human Services. Community Health and Well-Being. Division of Population Health

SERIES: 81555

3

TITLE: Workload reports

DATES: 1975-

ARRANGEMENT: Chronological

DESCRIPTION:

These are the annual workload reports compiled from the data collection form. This is used by division management to keep track of the quantity of work in the division and to identify long-term trends. This includes the report period, the type of specimen, and the number reported out and examined by each type of specimen.

RETENTION:

Retain for 15 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1987

FORMAT MANAGEMENT:

Paper: Retain in Office for 15 years and then destroy.

Computer data files: Retain in Office for 3 years and then erase.

APPRAISAL:

These records have administrative value(s).

The report itself should be kept for 15 years as the staff indicated that this is the period of time for which they have need. Recently, the record has been compiled on a personal computer. A three year retention will allow them to make any changes due to error and is consistent with the retention for the raw data.